

**IN: NATIONLA CYCLONE RISK MITIGATION PROJECT II
(P144726)**

I. General

1. **Bank's approval Date of the procurement Plan:** Original: May 21, 2015
2. **Date of General Procurement Notice:** May 5, 2015
3. **Period covered by this procurement plan:** The procurement period of project covered from Year May 5, 2015 to October 2016

II. Goods and Works and non-consulting services.

1. **Prior Review Threshold:** Procurement Decisions subject to Prior Review by the Bank as stated in Appendix 1 to the Guidelines for Procurement:

	Procurement Method	Prior Review Threshold US\$	Comments
1.	ICB (Goods)	<i>Above US\$ 2 million</i>	<i>All</i>
2.	NCB (Goods)	<i>Above US\$ 2 million</i>	<i>All</i>
3.	ICB (Works)	<i>Above US\$ 10 million</i>	<i>All</i>
4.	NCB (Works)	<i>Above US\$ 10 million</i>	<i>All</i>
5.	Services (non-consultant)	<i>Above US\$ 2 million</i>	<i>All</i>
6.	LIB	<i>Above US\$ 30,000</i>	

Procurement Methods and Threshold

Category	Method of Procurement	Threshold (US\$ Equivalent)
Goods and Non-consultant services	ICB	>3,000,000
	LIB	Wherever agreed by the Bank
	NCB	Up to 3,000,000 (with NCB conditions)
	Shopping	Up to 100,000
	DC	As per Para 3.7 of the Bank Guidelines
	Force Account	As per Para 3.9 of Guidelines
Works	Framework Agreements	As per Para 3.6 of Guidelines
	ICB	>40,000,000
	NCB	Up to 40,000,000 (with NCB conditions)
	Shopping	Up to 200,000
	Force Account	As per Para 3.9 of Guidelines
	DC	As per Para 3.7 of Guidelines
	Community Participation	As per Para 3.19 of Guidelines

Prior Review by the Bank. The Bank will prior review the following contracts:

- Works: All contracts more than US\$ 10.0 million equivalent;
- Goods: All contracts more than US\$ 2.0 million equivalent;
- Non-Consulting Services: All contracts more than US\$ 2.0 million equivalent;

The PMU shall prior review the first contract issued by each implementing agency, if the estimate of those contracts are below the prior review threshold value. The Bank will conduct prior review for only those contract whose estimate falls in prior review threshold value. In addition, the justifications for all contracts to be issued on LIB, single-source (>US\$ 30,000) or direct contracting (>US\$ 30,000) basis will be subject to Banks prior review. These thresholds are for the initial 18 months period and are based on the procurement performance of the project, these thresholds will be modified. In addition, the Bank will carry out an annual ex post procurement review of the procurement falling below the prior review threshold mentioned above.

All contracts below the specified prior review threshold value shall be subject to Post Procurement Review (PPR). For the avoidance of doubts, the Bank shall be entitled to conduct, at any time, independent procurement reviews of all the contracts financed under the Credit. The IA shall prepare a list of contract and submit it to the Bank for conducting PPR. The PPR will be conducted on annual basis. All contracts not covered under prior review will be subject to post review during supervision missions, and/or review by consultants to be appointed by the by Bank.

2. **Pre-qualification.** Not applicable
3. **Proposed Procedures for CDD Components (as per paragraph. 3.17 of the Guidelines:** Not applicable
4. **Reference to Project Operational/Procurement Manual:** The Project Operations/Procurement Manual was prepared and agreed by the Bank to be used as guidance note while conducting project procurement. All the contracts issued under the project will follow the World Bank's Guidelines: Bank's "Guidelines: Procurement under IBRD Loans and IDA Credits" dated January 2011 and revised in July 2014 and "Guidelines: Selection and Employment of Consultants by World Bank Borrowers" dated January 2011 and revised in July 2014, In case of any inconsistency between the Project Operation/Procurement Manual, the Bank Guidelines shall prevail.
5. **Any Other Special Procurement Arrangements:** Procurement of goods, works and non-consulting services shall be conducted through e-procurement portal in all the participating states using Bank's standard bidding documents.

International Competitive Bidding (ICB) is the preferred method for procurement of goods, works and non-consulting services. However, it is unlikely that there will be any ICB contract. All National Competitive Bidding (NCB) shall be conducted in accordance with paragraph 3.3 and 3.4 of the Guidelines. In addition, following additional provisions as agreed with GOI task force (as amended form time to time) shall apply:

- Only the model bidding documents for NCB as agreed with the GoI Task Force (and as amended from time to time), shall be used for bidding;
- Invitation to bid shall be advertised in at least one widely circulated national daily newspaper (or on a widely used website or electronic portal with free national and international access along with an abridged version of the said advertisement published in a widely circulated national daily inter-alia giving the website/electronic portal details from which the details of the invitation to bid can be downloaded), at least 30 days prior to the deadline for the submission of bids;
- No special preference will be accorded to any bidder either for price or for other terms and conditions when competing with foreign bidders, state-owned enterprises, small-scale enterprises or enterprise from any given State;
- Except with the prior concurrence of the Bank, there shall be no negotiation of price with the bidders, even with the lowest evaluated bidder;
- For prior review contracts, extension of bid validity shall not be allowed with reference to Contracts subject to Bank prior review without the prior concurrence of the Bank (i) for the first request for extension if it is longer than four weeks; and (ii) for all subsequent requests for extension irrespective of the period (such concurrence will be considered by Bank only in cases of Force Majeure and circumstance beyond the control of the Purchaser/Employer);
- For prior review contracts , re-bidding shall not be carried out with reference to Contracts subject to Bank prior review without the prior concurrence of the Bank;
- The system of rejecting bids outside a pre-determined margin or “bracket” of prices shall not be used in the project;
- Rates contract entered into by Directorate General of Supplies and Disposal (DGS & D) will not be acceptable as a substitute for NCB procedures unless agreed with the Bank on case to case basis. Such contracts will be acceptable however, for any procurement under the Shopping procedure;
- Two or three envelop system will not be used (except when suing e-procurement system assessed and agreed by the Bank.

To meet the Bank's requirements for right to audit and F&C, these clauses may be included in the Purchase Orders, in case the purchasers are directly placing the purchase orders to DGS&D rate contract holders. On the other hand, if indent is placed through DGS&D, the Purchaser has the option to sign a separate undertaking with DGS&D rate contract holder, where Bank’s right to audit and F&C clauses could be mentioned;

- 6. Summary of the Procurement Packages planned during the first 18 months after project effectiveness:** Available in STEP.

III. Selection of Consultants

- 1. Prior Review Threshold:** Selection decisions subject to Prior Review by Bank as stated in Appendix 1 to the Guidelines Selection and Employment of Consultants:

	Selection Method	Prior Review Threshold	Comment
1.	Competitive Methods (Firms)	Above US\$ 1 million	All contract
2.	Single Source (Firms)	Above US\$ 1 million	All contract

3.	Individual	Above US\$ 300,000	All contracts
4.	CQS/LCS	Up to US\$ 300,000	All contracts

2. **Short list comprising entirely of national consultants:** Short list of consultants for services, estimated to cost less than \$ 800,000 equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.

3. **Any Other Special Selection Arrangements:** The implementation of component 1 (designating of Early Warning Development System) is foreseen as challenge as there are limited consulting firms in the market. M/s Telecommunications Consultant India Ltd. (TCIL) a government owned public sector has been designing EWDS system for Andhra Pradesh and Odisha under NCRMP I project. Many participating states have requested to single source designing of EWDS to TCIL as they do not have capacity to conduct selection process for such firm. NDMA suggested that while it will be an advantage to use TCIL in all the states, an option will be given to the respective states to either single source TCIL or choose competitive selection procedure to select such firms as reflected in the procurement plan in STEP.

4. **Consultancy Assignments with Selection Methods and Time Schedule** Available on STEP.

PROCUREMENT PLAN India : National Cyclone Risk Mitigation Project-II

General Information
Country: India **Bank's Approval Date of the Original Procurement Plan:** 2016-08-08
Revised Plan Date(s): (comma delimited, leave blank if not) 2019-05-10
Project ID: P144726 **GFN Date:**
Project Name: National Cyclone Risk Mitigation Project-II
Loan / Credit No: IDA / 56930
Executing Agency(ies): Department of Revenue Management (Karnataka)

WORKS																																
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion						
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	
IN-DRM-KAR-1388-CW-RFB / Package 1: Construction of Multipurpose Cyclone Shelter near Govt. Higher Primary School and PU college in Padu Kapu village, Udipi taluk, Udipi district	IDA / 56930		Post	Request for Bids	Open - National	Single Stage - Two Envelope		380,858.70	Signed					2016-10-30		2016-11-04										2016-12-16		2017-02-28		2017-04-04		2019-10-04
IN-DRM-KAR-1947-CW-RFB / Package 5: Construction all weather road from Herikudru temple to NH (2.12 km) and road from Herikudru school to NH (0.82 km), Anagalli in Kundapura taluk, Udipi	IDA / 56930		Post	Request for Bids	Open - National	Single Stage - Two Envelope		323,710.10	Signed					2016-10-31		2016-11-05										2016-12-17		2017-03-01		2017-04-05		2018-03-05
IN-DRM-KAR-1948-CW-RFB / Package 3: Construction and improvements of Hejamadi-Kodi Fisheries Road and Construction and improvements of Tenka Yermal Fisheries Road in Udipi taluk	IDA / 56930		Post	Request for Bids	Open - National	Single Stage - Two Envelope		180,859.27	Signed					2016-10-31		2016-11-05										2016-12-17		2017-03-01		2017-04-05		2018-03-05
IN-DRM-KAR-1950-CW-RFB / Package 6: Construction and improvement of Thekkatte to Kame fisheries road (2.10 Km), Bejjali fisheries road (2.15 Km) Kundapura Taluk, Udipi Total Length- 4.25 km	IDA / 56930		Post	Request for Bids	Open - National	Single Stage - Two Envelope		449,075.60	Signed					2016-10-31		2016-11-05										2016-12-17		2017-03-01		2017-04-05		2018-03-05
IN-DRM-KAR-1951-CW-RFB / Package 7: Construction and improvements Road from Gangolli light house to Trasi Gangolli Main road; Bengeri Naga temple to Trasi Gangolli Main road; Uppanda Medical Alvekodi fisheries road; Koderi fisheries road, Kundapura taluk, Udipi District	IDA / 56930		Post	Request for Bids	Open - National	Single Stage - Two Envelope		444,502.66	Signed					2016-10-31		2016-11-05										2016-12-17		2017-03-01		2017-04-05		2018-03-05

IN-DEM-KAR-1952-CW-RFB / Package 8:Construction and improvements Road from Yedihare Paduvari customs to NH 66, Road from Yedihare Market road, Road from NH 66 to Shirur under bridge approach road, Kundapura, Udipi District	IDA / 56930	Post	Request for Bids	Open - National	Single Stage - Two Envelope	523,024.09	Signed												2016-10-31	2016-11-05		2016-12-17	2017-03-01	2017-04-05	2018-03-05
IN-DEM-KAR-1953-CW-RFB / Package 9: Construction and improvements Shirur Alvegadde fisheries road ; Yedihare to Paduvari Sameshwara road	IDA / 56930	Post	Request for Bids	Open - National	Single Stage - Two Envelope	601,995.91	Signed												2016-10-31	2016-11-05		2016-12-17	2017-03-01	2017-04-05	2018-03-05
IN-DEM-KAR-1992-CW-RFB / Package 12:Construction of Multipurpose cyclone shelter near Zilla Panchayath Higher Primary School, Hosabettu in Mangalore Taluk	IDA / 56930	Post	Request for Bids	Open - National	Single Stage - Two Envelope	0.00	Under Implementation												2016-10-31	2016-11-05		2016-12-17	2017-03-01	2017-04-05	2019-10-02
IN-DEM-KAR-1996-CW-RFB / Package 13: Construction and improvements to Mukka-Sasihithlu road from Km. 0.00 to 5.50 Km. in Mangalore Taluk, Dakshina Kannada District	IDA / 56930	Post	Request for Bids	Open - National	Single Stage - Two Envelope	669,363.80	Signed												2016-10-31	2016-11-05		2016-12-17	2017-03-01	2017-04-05	2018-03-05
IN-DEM-KAR-1998-CW-RFB / Package 14:Improvements to road from Chitrapura River side towards East Upto NH, Mulki Town in Mangalore Taluk, Dakshina Kannada District.	IDA / 56930	Post	Request for Bids	Open - National	Single Stage - Two Envelope	0.00	Under Implementation												2016-10-31	2016-11-05		2016-12-17	2017-03-01	2017-04-05	2018-03-05
IN-DEM-KAR-2000-CW-RFB / Package 15:Construction and improvements of KPDC Hoige Bazaar 3rd stage to Fisheries Jetty road and road from Hoige Bazaar to Railway gate to Marigudi Temple, Bolar Leewel Feery Road in Mangalore Taluk, Dakshina Kannada District	IDA / 56930	Post	Request for Bids	Open - National	Single Stage - Two Envelope	0.00	Under Implementation												2016-10-31	2016-11-05		2016-12-17	2017-03-01	2017-04-05	2018-03-05
IN-DEM-KAR-2001-CW-RFB / Package 16: Construction and improvements to road from Sameshwara Temple sea shore to Thokkottu in Mangalore Taluk, Dakshina Kannada District. (3 km)	IDA / 56930	Post	Request for Bids	Open - National	Single Stage - Two Envelope	0.00	Under Implementation												2016-10-31	2016-11-05		2016-12-17	2017-03-01	2017-04-05	2018-03-05
IN-DEM-KAR-2019-CW-RFB / Package 17: Construction and improvements to road from Ullala Beach, Ullal Dargha via to Thokkottu in Mangalore Taluk, Dakshina Kannada District.	IDA / 56930	Post	Request for Bids	Open - National	Single Stage - Two Envelope	0.00	Under Implementation												2016-10-31	2016-11-05		2016-12-17	2017-03-01	2017-04-05	2018-03-05

IN-DRM-KAR-2020-CW-RFB / Package 18:Construction of Multipurpose Cyclone Shelter at Chittakula village, Chittakula GP, Karwar Taluk, Uttara Kannada	IDA / 56930	Post	Request for Bids	Open - National	Single Stage - Two Envelope	447,036.42	Signed					2016-10-31	2016-11-05	2016-12-17	2017-03-01	2017-04-05	2019-10-02
IN-DRM-KAR-2092-CW-RFB / Package 19: Construction of Multipurpose Cyclone Shelter at Amadalli Village, Amadalli GP, Karwar Taluk, Uttara Kannada	IDA / 56930	Post	Request for Bids	Open - National	Single Stage - Two Envelope	498,579.73	Signed					2016-10-31	2016-11-05	2016-12-17	2017-03-01	2017-04-05	2019-10-02
IN-DRM-KAR-2093-CW-RFB / Package 20: Construction of Multipurpose Cyclone Shelter at Pujjari Gram Panchyat Shetgeri, Ankola, Uttara Kannada	IDA / 56930	Post	Request for Bids	Open - National	Single Stage - Two Envelope	363,131.39	Signed					2016-10-31	2016-11-05	2016-12-17	2017-03-01	2017-04-05	2019-10-02
IN-DRM-KAR-2094-CW-RFB / Package 21: Construction of Multipurpose Cyclone Shelter at Govt. HPS, Kharwada Belekeri GP, Ankola, Uttara Kannada	IDA / 56930	Post	Request for Bids	Open - National	Single Stage - Two Envelope	348,640.48	Signed					2016-10-31	2016-11-05	2016-12-17	2017-03-01	2017-04-05	2019-10-02
IN-DRM-KAR-2095-CW-RFB / Package 22: Construction of Multipurpose Cyclone Shelter at Manaki, Kumta, Uttara Kannada District	IDA / 56930	Post	Request for Bids	Open - National	Single Stage - Two Envelope	362,216.55	Signed					2016-10-31	2016-11-05	2016-12-17	2017-03-01	2017-04-05	2019-10-02
IN-DRM-KAR-2096-CW-RFB / Package 23: Construction of Multipurpose Cyclone Shelter at Manhi High School, Honavar, Uttara Kannada District	IDA / 56930	Post	Request for Bids	Open - National	Single Stage - Two Envelope	390,288.89	Signed					2016-10-31	2016-11-05	2016-12-17	2017-03-01	2017-04-05	2019-10-02
IN-DRM-KAR-2097-CW-RFB / Package 24: Construction of Multipurpose Cyclone Shelter at Shirali Village (Tattibakkal), Shirali GP, Bhatkal, Uttara Kannada	IDA / 56930	Post	Request for Bids	Open - National	Single Stage - Two Envelope	355,130.93	Signed					2016-10-31	2016-11-05	2016-12-17	2017-03-01	2017-04-05	2019-10-02
IN-DRM-KAR-2099-CW-RFB / Package 25: Improvements to Manhi Banasale to Halemath Fisheries Link Road in Honavar Taluka of UK Dist.	IDA / 56930	Post	Request for Bids	Open - National	Single Stage - Two Envelope	316,588.01	Signed					2016-10-31	2016-11-05	2016-12-17	2017-03-01	2017-04-05	2018-03-05
IN-DRM-KAR-2100-CW-RFB / Package 26: Improvements to Manhi Banasale Hosahilu Doddagunda Talnakkki Fisheries Link Road in Honavar Taluka of UK Dist.	IDA / 56930	Post	Request for Bids	Open - National	Single Stage - Two Envelope	396,486.34	Signed					2016-10-31	2016-11-05	2016-12-17	2017-03-01	2017-04-05	2018-03-05
IN-DRM-KAR-2101-CW-RFB / Package 27: Improvement to Murudeshwar-Tudalli fisheries link road, Bhatkal Taluk	IDA / 56930	Post	Request for Bids	Open - National	Single Stage - Two Envelope	456,768.31	Signed					2016-10-31	2016-11-05	2016-12-17	2017-03-01	2017-04-05	2018-03-05

IN-DRM-KAR-1390-CW-RFB / Package 2: Construction of Multipurpose Cyclone Shelter at Govt. Higher Primary Kuvempu School, Thekkatte, Kundapura Taluk, Udupi District	IDA / 56930		Post	Request for Bids	Open - National	Single Stage - Two Envelope		370,182.63	Signed											2016-10-30	2016-11-04				2016-12-16	2017-02-28			2017-04-04	2019-10-04
INDRMKAR0808CWRF / Package 29: Construction and improvements to road from Adankudru School to Adankudru Church in Mangaluru City South Constituency.	IDA / 56930		Post	Request for Bids	Open - National	Single Stage - Two Envelope		0.00	Under Implementation											2017-03-31	2017-04-05				2017-05-17	2017-07-30			2017-09-03	2018-05-31
IN-DRM-KAR-46477-CW-RFB / Construction of MPCS in Ombhathu Kare at Ulali, Managalaru, Dakshina Kannada	IDA / 56930		Post	Request for Bids	Open - National	Single Stage - Two Envelope		0.00	Under Implementation											2018-02-06	2018-02-11				2018-03-25	2018-06-07			2018-07-12	2019-01-08
IN-DRM-KAR-1949-CW-RFB / Package 4: Construction and improvements to Malpe - Tottam - Gujarabettu Fisheries Road in Udupi taluk, Udupi District.	IDA / 56930		Post	Request for Bids	Open - National	Single Stage - Two Envelope		0.00	Canceled											2016-10-31	2016-11-05				2016-12-17	2017-03-01			2017-04-05	2018-03-05
IN-DRM-KAR-2102-CW-RFB / Package 28: Construction of Saline embankment near Manikkattu from ch. 0.0m to 3.0Km and protection work near Agamashini 3.0 to 7.00 km in Kunta Taluka, Utiara Kannada District.	IDA / 56930		Post	Request for Bids	Open - National	Single Stage - Two Envelope		0.00	Pending Implementation											2019-05-14	2019-05-30				2019-07-15	2019-07-22			2019-07-31	2021-02-26
IN-DRM-KAR-1986-CW-RFB / Package 11: Construction of bridge and approach road @ Kelageri to Kodi Kanayana Temple Udupi taluk.	IDA / 56930		Post	Request for Bids	Open - National	Single Stage - Two Envelope		0.00	Under Implementation											2019-05-03	2019-05-15				2019-06-17	2019-06-21			2019-07-01	2021-01-31
IN-DRM-KAR-1885-CW-RFB / Package 10: Construction of bridge and approach road Manooru to Nadubettu across Boodanagundi River in Udupi taluk.	IDA / 56930		Post	Request for Bids	Open - National	Single Stage - Two Envelope		0.00	Under Implementation											2019-05-02	2019-05-10				2019-06-10	2019-06-13			2019-06-24	2021-01-31
IN-DRM-KAR-11960-CW-RFB / Selection of vendor for implementation of EWDS in Coastal Karnataka (3 districts)	IDA / 56930		Post	Request for Bids	Open - National	Single Stage - Two Envelope		0.00	Pending Implementation											2019-05-08	2019-06-07				2019-07-08	2019-08-19			2019-09-16	2020-03-30

GOODS																													
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Notice / Invitation		Specific Procurement		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion			
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
IN-DRM-KAR-1184-GO-DIR / Furnishing and renovation of SPTU and Implementing agencies office	IDA / 56930		Post	Direct Selection	Direct			0.00	Canceled					2016-10-31		2016-11-05										2016-12-10		2017-06-08	

IN-DRM-KAB-2593-CS- QCBS / Hiring of Consultant to assist KSNIMC in implementing EWDS in three coastal districts	IDA / 56930	Post	Quality And Cost- Based Selection	Open - National		0.00	Canceled	2016-11-30		2016-12-21		2017-02-03				2017-03-03		2017-04-02		2017-05-07		2017-06-11		2019-12-11	
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INDIVIDUAL CONSULTANTS