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INTEGRATED SAFEGUARDS DATASHEET
APPRAISAL STAGE

Report No:
Date prepared/updated: April 8th April 2013

I. Basic Information

1. Basic Project Data

Country: Thailand	Project ID: P143770	
	Additional Project ID *(if any)*:	
Project Name: **Community-based Livelihood Support for the Urban Poor (SUP)**		
Task Team Leader: Patricia Fernandes		
Estimated Appraisal Date: n/a	Estimated Board Date: n/a	
Managing Unit: EASTS	Lending Instrument: Japanese Social Development Fund (JSDF) Grant – Emergency Window	
Sector: Social Protection (Other)		
Theme: Other Social Services (100%)		
IBRD Amount (US$m.): IDA Amount (US$m.): GEF Amount (US$m.): PCF Amount (US$m.): Other financing amounts by source: **Grant (JSDF) – Total US$ 3,000,000** Of the total amount, US$2,850,000 will be Recipient Executed and US$150,000 will be allocated for incremental Bank Costs		
Environmental Category: B – partial assessment		
Is this a transferred project	Yes [ ]	No [X]
Simplified Processing – **Processing Guidelines for JSDF Emergency Window**	Simple [X]	Repeater [ ]
Is this project processed under OP 8.00 (Rapid Response to Crises and Emergencies)	Yes []	No [X]

2. Project Objectives:

The objective of the grant is to assist the Royal Thai Government to provide at 3,000 vulnerable households in poor urban communities with access to bridging income support and improved living conditions. The grant objectives will be achieved through a community basic infrastructure rehabilitation program in five Provinces where the impacts of the economic crisis have been aggravated by the recent floods.

3. Project Description:

The global economic crisis had varying impacts on the Asia and Pacific economies with Thailand suffering significantly in terms of reduced growth. The Thai economy contracted by 5.7% between October 2008 and March 2009. Analysis carried by the World Bank in 2009 and 2010 indicated that the government's stimulus package had not been effective in reaching the

6. Safeguard Policies Triggered *(please explain why)*	Yes	No
Environmental Assessment (OP/BP 4.01) The project will fund small-scale rehabilitation of individual housing and basic community infrastructure e.g. drainage maintenance, access path or road upgrading/maintenance, water supply system construction/maintenance, flood protection upgrading/maintenance, etc. Such rehabilitation may results in minor and site-specific adverse impacts on environment during construction i.e. disposal of construction waste, dredged materials/spoil from drainage cleaning, dust dispersion, nuisance noise, etc. In addition to the site-specific impact, the impacts will be the short-term impacts (cease after construction completion), and can be mitigated by mitigatory measures. The project has, therefore, been assigned an Environmental Assessment Category B.	X	
Natural Habitats (OP/BP 4.04) The project locations will be in poor urban communities and there will be no impacts on natural habitats.		X
Forests (OP/BP 4.36) The project locations will be in poor urban communities and there will be no impacts on forests.		X
Pest Management (OP 4.09) The project will not promote an increase use of pesticides.		X
Physical Cultural Resources (OP/BP 4.11) The project activities involve rehabilitation of housing and infrastructure in existing poor urban communities and will not affect any physical cultural resources. Chance find procedures will be included in construction contracts.		X
Indigenous Peoples (OP/BP 4.10) This is an urban intervention and the screening carried out by the project team indicated that IP groups are not present in target areas.		X

4. Describe measures taken by the borrower to address safeguard policy issues. Provide an assessment of borrower capacity to plan and implement the measures described:

The CODI has been working to support urban poor communities throughout Thailand since early 2000. The World Bank (WB) will provide CODI with capacity building support implement WB safeguards policies and in line with the EMF and RPF. CODI staff will be in charge of the compliance to the social and environmental safeguards requirements as stipulated in the grant agreements of the project. Implementation progress reports will include a section for reporting compliance with World Bank safeguards policies.

5. Identify the key stakeholders and describe the mechanisms for consultation and disclosure on safeguard policies, with an emphasis on potentially affected people:

The key stakeholders are urban poor communities who will be consulted through a participatory planning process to define the small scale infrastructure and housing rehabilitation activities. Project facilitation will emphasize the inclusion of vulnerable groups within the community and environmental protection consideration. Participating communities will sign an agreement with CODI, which will require them to support the participatory planning process. The project principle of full transparency will be implemented through a program of disclosure of plans, budgets and expenditures supplemented by independent civil society monitoring. The EMF and RPF have been disclosed through the World Bank Info shop and in Country and will be further included in SUP's Operations Manual.

B. Disclosure Requirements Date	
Environmental Assessment/Audit/Management Plan/Other:	
Was the document disclosed ***prior to appraisal?***	yes
Date of receipt by the Bank	January 7, 2013
Date of "in-country" disclosure	January 14, 2013
Date of submission to InfoShop	February 7, 2013
For category A projects, date of distributing the Executive Summary of the EA to the Executive Directors	
Resettlement Action Plan/Framework/Policy Process:	
Was the document disclosed ***prior to appraisal?***	yes
Date of receipt by the Bank	March 7, 2013
Date of "in-country" disclosure	3rd April 2013
Date of submission to InfoShop	3rd April 2013
Indigenous Peoples Plan/Planning Framework:	
Was the document disclosed ***prior to appraisal?***	n/a
Date of receipt by the Bank	n/a
Date of "in-country" disclosure	n/a
Date of submission to InfoShop	n/a
Pest Management Plan:	
Was the document disclosed ***prior to appraisal?***	n/a
Date of receipt by the Bank	n/a

of good planning and good environmental management practices. The project has, therefore, been assigned an *Environmental Assessment Category B.*

In addition, during the construction of infrastructure it is possible that some land may need to be acquired and some assets (such as trees) might be damaged to allow the most effective, efficient, and beneficial use of resources. The SUP grant will support small scale rehabilitation of existing infrastructure and housing damaged by the most recent floods at the end of 2012. Therefore, need to build new structures is expected to be limited. However, the project will not be able to exclude the need to on rare occasions, widen existing lanes with slightly wider surfaces, or to build/upgrade drainage ditches. The so called right-of-way therefore needs to be increased by one or two meters, and this can only happen if the land bordering the road is acquired or if there is agreement on its voluntary donation to benefit the overall community. Similarly, it is possible that the community may choose to invest in improvements in water/sanitation given the overall conditions observed in target areas and it may not always be possible to locate these small scale investments (pipe-laying, latrine construction) in communally held land. *Therefore the project has triggered OP 4.12 and prepared a Resettlement Policy Framework.*

An Environmental Management Framework (EMF) was developed. The EMF includes an environmental safeguard screening and review process and safeguard actions, to be carried out and applied to all sub-projects investment. The screening and review will take place prior to submission of subproject proposals. It will also include set of simple mitigation measures for typical small-scale rehabilitations works. The screening procedures in line with the EMF will be included in the project's operation manual. The completed screening checklist and the mitigation plan will be included as part of the sub-project documentation. The EMF will be used as part of the overall project training for the implementing partner Community Organization Development Institute (CODI) staff and community facilitators to ensure that the environmental mitigation measures will be implemented to minimized the adverse impact from the project activities.

If yes, then did the Regional unit responsible for safeguards or Sector Manager review the plan?	
If the whole project is designed to benefit IP, has the design been reviewed and approved by the Regional Social Development Unit?	
OP/BP 4.12 - Involuntary Resettlement	
Has a resettlement plan/abbreviated plan/policy framework/process framework (as appropriate) been prepared?	Yes [X] No [ ] N/A [ ]
If yes, then did the Regional unit responsible for safeguards or Sector Manager review and approve the plan/policy framework/process framework?	Yes approved by the Acting EASTS Sector Manager on 5th April 2013
OP/BP 4.36 – Forests	
Has the sector-wide analysis of policy and institutional issues and constraints been carried out?	Yes [ ] No [ ] N/A [x]
Does the project design include satisfactory measures to overcome these constraints?	
Does the project finance commercial harvesting, and if so, does it include provisions for certification system?	
OP/BP 4.37 - Safety of Dams	
Have dam safety plans been prepared?	Yes [ ] No [ ] N/A [x]
Have the TORs as well as composition for the independent Panel of Experts (POE) been reviewed and approved by the Bank?	
Has an Emergency Preparedness Plan (EPP) been prepared and arrangements been made for public awareness and training?	
OP/BP 7.50 - Projects on International Waterways	
Have the other riparians been notified of the project?	Yes [ ] No [ ] N/A [x]
If the project falls under one of the exceptions to the notification requirement, has this been cleared with the Legal Department, and the memo to the RVP prepared and sent?	
What are the reasons for the exception? Please explain:	
Has the RVP approved such an exception?	
OP/BP 7.60 - Projects in Disputed Areas	
Has the memo conveying all pertinent information on the international aspects of the project, including the procedures to be followed, and the recommendations for dealing with the issue, been prepared	Yes [ ] No [ ] N/A [x]
Does the PAD/MOP include the standard disclaimer. referred to in the OP?	
The World Bank Policy on Disclosure of Information	
Have relevant safeguard policies documents been sent to the World Bank's InfoShop?	Yes [x] No [ ] N/A [ ]

D. Approvals

Signed and submitted by:	*Name*	*Date*
Task Team Leader:	Patricia Fernandes	8th April 2013
Environmental Specialist:	Waraporn Hirunwatsiri	2nd of April 2013
Social Development Specialist	Pamornrat Tansanguanwong	2nd of April 2013
Additional Environmental and/or Social Development Specialist(s):	Satoshi Ishihara	2nd of April 2013
Approved by:		
Regional Safeguards Coordinator:	Peter Leonard	9-04-13
Comments:		
Sector Manager:	Julia M. Fraser Janelle Plummer, Acting SM (email attached)	05-09-13
Comments:		