

Kerala State Transport Project II (P130339)

I. General

1. **Bank's approval Date of the procurement Plan** *Revision of Updated Procurement Plan, 4 April 2017*
2. **Date of General Procurement Notice:** *Dec 24, 2010*
3. **Period covered by this procurement plan:** *The procurement period of project covered from year June 2016 to December 2018*

II. Goods and Works and non-consulting services.

1. **Prior Review Threshold:** Procurement Decisions subject to Prior Review by the Bank as stated in Appendix 1 to the Guidelines for Procurement:

	Procurement Method	Prior Review Threshold US\$	Comments
1.	ICB and LIB (Goods)	<i>Above US\$ 4,000, 000</i>	<i>All</i>
2.	NCB (Goods)	<i>Above US\$ 4,000, 000</i>	
3.	ICB (Works)	<i>Above US\$ 15,000,000</i>	<i>All</i>
4.	NCB (Works)	<i>Above US\$ 15,000,000</i>	<i>All</i>
5.	(Non-Consultant Services)	<i>Below US\$ 4,000,000</i>	<i>First contract</i>

2. **Prequalification.** *As applicable* in detailed items
3. **Proposed Procedures for CDD Components (as per paragraph. 3.17 of the Guidelines:** *Not applicable*
4. **Reference to (if any) Project Operational/Procurement Manual:** *Not applicable.*
5. **Any Other Special Procurement Arrangements:**
NCB conditions for India are:

NCB will be conducted in accordance with paragraph 3.3 and 3.4 of the Guidelines and the following provisions:

- i. Only the model bidding documents for NCB agreed with the GOI Task Force (and as amended for time to time), shall be used for bidding;
- ii. Invitations to bid shall be advertised in at least one widely circulated national daily newspaper (or on a widely used website or electronic portal with free national and international access along with an abridged version of the said advertisement published in a widely circulated national daily inter-alia giving the website/electronic portal details from which the details of the invitation to bid can be downloaded), at least 30 days prior to the deadline for the submission of bids;
- iii. No special preference will be accorded to any bidder either for price or for other terms and conditions when competing with foreign bidders, state-

- owned enterprises, small-scale enterprises or enterprises from any given State;
- iv. Except with the prior concurrence of the Bank, there shall be no negotiation of price with the bidders, even with the lowest evaluated bidder;
 - v. Extension of bid validity shall not be allowed with reference to Contracts subject to Bank prior review without the prior concurrence of the Bank (i) for the first request for extension if it is longer than four weeks; and (ii) for all subsequent requests for extension irrespective of the period (such concurrence will be considered by Bank only in cases of Force Majeure and circumstances beyond the control of the Purchaser/ Employer)
 - vi. Re-bidding shall not be carried out with reference to Contracts subject to Bank prior review without the prior concurrence of the Bank
 - vii. The system of rejecting bids outside a pre-determined margin or "bracket" of prices shall not be used in the project;
 - viii. Rate contracts entered into by Directorate General of Supplies and Disposals will not be acceptable as a substitute for NCB procedures. Such contracts will be acceptable however for any procurement under the Shopping procedures;
 - ix. Two or three envelope system will not be used (except when using e-Procurement system assessed and agreed by the Bank)

Other agreed provisions are:

- Any discount received from the bidders after bid submission deadline will not be considered either during the bid evaluation or the contract award.
- Bids received from foreign bidders will not be rejected under NCB.
- DGS&D rate contracts may be used as framework agreement (FA) provided:
 - i. Use of DGS&D rate contracts as FA must be reflected on the procurement plan agreed by the Bank for particular goods.
 - ii. Before issuing the purchasing order, the implementing agency will carry out a price analysis on the specific good that is intended to be purchased. If after this due diligence the implementing agency concludes (and Bank agrees) that the DGS&D rate contracts are more advantageous, DGS&D rate contracts may be used as FA.
 - iii. To meet the Bank's requirements for right to audit and F&C, these clauses may be included in the Purchase Orders (in case the purchasers are directly placing the purchase orders to DGS&D rate contract holders). On the other hand, if indent is placed through DGS&D, the Purchaser has the option to sign a separate undertaking with DGS&D rate contract holder, where Bank's right to audit and F&C clauses could be mentioned.
- Use of DGS&D Government e-Marketplace (GeM) (www.gem.gov.in) is permitted in lieu of Shopping as per following details:
 - a. Up to US\$ 30,000 in catalog mode (viz. any available item could be selected by implementing agencies).
 - b. Up to US\$ 100,000, provided there are at least 3 suppliers for the item on GeM and the Purchaser uses bidding or e-reverse auction among suppliers feature on GeM to discover the final price.

In both above cases, implementing agencies will record their assessment on reasonableness of price.

6. Summary of the Procurement Packages planned during the first 18 months after project effectiveness - [Uploaded in STEP.](#)

III. Selection of Consultants

1. **Prior Review Threshold:** Selection decisions subject to Prior Review by Bank as stated in Appendix 1 to the Guidelines Selection and Employment of Consultants:

	Selection Method	Prior Review Threshold	Comments
1.	Competitive Methods (Firms)	Above US\$ 2,000,000	
2.	Single Source (Firms)	Above US\$ 2,000,000	
3.	Individual	Above US\$ 400,000	

2. **Short list comprising entirely of national consultants:** Short list of consultants for services, estimated to cost less than \$ [800,000](#) equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.

3. **Any Other Special Selection Arrangements:** [Not applicable](#)

4. **Consultancy Assignments with Selection Methods and Time Schedule - - [Uploaded in STEP.](#)**

PROCUREMENT PLAN India : India Second Kerala State Transport Project

General Information	
Country:	India Bank's Approval Date of the Original Procurement Plan: 2016-06-10
	Revised Plan Date(s): (comma delimited, leave blank if not) 2019-01-31
Project ID:	P130339 GFN Date:
Project Name:	India Second Kerala State Transport Project
Loan / Credit No:	IBRD / 82540
Executing Agency(ies)	Kerala State Transport Project

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion		
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	
WBR No. 5 [KSTP/PMT/UG-4] / Upgradation of Chengannur - Ertumanoor Road	IBRD / 82540		Prior	Request for Bids	Open - International	Single Stage - One Envelope		48,358,059.93	Signed																			
WBR No. 7 [KSTP/UG-6 - Amended] / Construction of Pookunnam - Thodupuzha Road	IBRD / 82540		Prior	Request for Bids	Open - International	Single Stage - One Envelope		36,391,470.00	Signed												2013-11-19						2014-05-05	
WBR No. 23 / KSTP-II.UG-III B - Upgradation of Kalarode (km 30 000) to Valavupara (km 55 200) of SH 30 Balance Works	IBRD / 82540		Prior	Request for Bids	Open - National	Single Stage - One Envelope		31,186,873.02	Signed																			
WBR No. 24 / III A - Up-gradation of the Road from Thalassery(Km 1 200) to Kalarode (km 30 000) of SH 30-Balance works	IBRD / 82540		Prior	Request for Bids	Open - National	Single Stage - One Envelope		23,750,122.13	Signed																			
IN-KSTP-1770-CW-RFB / MC Road- Safe Corridor Demonstration Project- BC Overlay & Road Safety Works from Kuthakuttom to Adoor	IBRD / 82540		Prior	Request for Bids	Open - National	Single Stage - Two Envelope		21,684,787.32	Signed					2016-09-20		2016-09-20					2016-09-20				2016-09-20		2016-10-25	2017-04-23
KSTP/UGVII / Up-gradation and Maintenance of the road from Punalur to Pookunnam under DBFOMT on Hybrid Annuity basis	IBRD / 82540		Prior	Public Private Partnership	Open - International	Multi Stage		0.00	Under Implementation	2017-04-04		2017-06-20		2017-06-20		2017-04-09					2017-08-29			2017-12-11		2018-01-15	2019-07-09	
IN-KSTP-1290-CW-FPP / Upgradation and Maintenance of the Road from Punalur to Pookunnam under DBFOMT on Hybrid Annuity basis	IBRD / 82540		Prior	Public Private Partnership	Open - International	Multi Stage		0.00	Cancelled	2016-08-29		2016-10-15		2016-10-17		2016-08-29					2016-12-15			2017-01-28		2017-02-01	2017-02-01	
IN-KSTP-64214-CW-RFB / Construction of Thiruvalla Bypass-Balance works	IBRD / 82540		Prior	Request for Bids	Open - National	Single Stage - One Envelope		0.00	Cancelled					2018-06-04		2018-06-09					2018-07-21			2018-08-20		2018-09-24	2019-03-23	
IN-KSTP-64217-CW-RFB / Up-gradation of road in Thiruvalla Town area- UG-IV B	IBRD / 82540		Prior	Request for Bids	Open - National	Single Stage - One Envelope		0.00	Cancelled					2018-06-11		2018-06-16					2018-07-28			2018-08-27		2018-10-01	2019-03-30	

IN-KSTP-64594-CW-RFQ / PAP works on Ettumannur-Moovattupuzha road	IBRD / 82540		Post	Request for Quotations	Open - National	Single Stage - One Envelope		0.00	Canceled										2018-07-28				2018-09-22	2019-03-21	
IN-KSTP-69141-CW-RFB / KSTP II-CHALLENGE FUND- Civil works-Road Safety Measures to Pavaagadu- Korappuzha stretch of NH-66 Kozhikode District	IBRD / 82540		Post	Request for Bids	Open - National	Single Stage - One Envelope		0.00	Pending Implementation										2018-07-16	2018-07-21		2018-09-01	2018-10-01	2018-11-05	2019-03-05
IN-KSTP-78267-CW-RFQ / PAP works along Ettumannur Muvattupuzha road set II.	IBRD / 82540		Post	Request for Bids	Open - National	Single Stage - Two Envelope		0.00	Under Implementation										2018-10-12	2018-10-17		2018-11-28	2019-02-10	2019-03-17	2019-08-13
IN-KSTP-78434-CW-RFB / KSTP II-CHALLENGE FUND-Road Safety Measures to Pavaagadu Korappuzha stretch of NH-66 Kozhikode District.	IBRD / 82540		Post	Request for Bids	Open - National	Single Stage - Two Envelope		0.00	Under Implementation										2018-09-25	2018-09-30		2018-11-11	2019-01-24	2019-02-28	2019-08-27
IN-KSTP-78462-CW-RFB / Rehabilitation of Chandragiri, Bakkel and Puzhayagadi Bridges in KSTP Package I and II	IBRD / 82540		Post	Request for Bids	Open - National	Single Stage - Two Envelope		0.00	Under Implementation										2018-10-10	2018-10-15		2018-11-26	2019-02-08	2019-03-15	2019-09-11
IN-KSTP-78456-CW-APA / Engineering procurement construction- Road from Kommi (Km29+840) Placherry 60+000)	IBRD / 82540		Prior	Request for Bids	Open - National	Single Stage - Two Envelope		0.00	Under Review										2018-09-29	2018-10-04		2018-11-15	2019-01-28	2019-03-04	2019-08-31
IN-KSTP-78448-CW-APA / Engineering Procurement Construction - Contract- Punalur (0+000) to Kommi (29+840) road	IBRD / 82540		Prior	Request for Bids	Open - National	Single Stage - Two Envelope		0.00	Under Review										2018-10-10	2018-10-15		2018-11-26	2019-02-08	2019-03-15	2019-09-11
IN-KSTP-78458-CW-APA / Engineering procurement construction- Road from Plachery (Km60+000) to Pookunnam (Km 82+170)	IBRD / 82540		Prior	Request for Bids	Open - National	Single Stage - Two Envelope		0.00	Under Review										2018-10-05	2018-10-10		2018-11-21	2019-02-03	2019-03-10	2019-09-06
IN-KSTP-97846-CW-RFB / PAP works along Ettumannur Muvattupuzha road set-III	IBRD / 82540		Post	Request for Bids	Open - National	Single Stage - Two Envelope		0.00	Pending Implementation										2019-02-15	2019-02-20		2019-04-03	2019-06-16	2019-07-21	2020-01-17

GOODS																													
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Notice / Invitation		Specific Procurement		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion			
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual		
WBR No. 20 / Supply of Electrical items for Kasaragod-Kanhangad, Plathara-Pappinessery and Thalassery-Valuppara Road	IBRD / 82540		Prior	Request for Bids	Open - National	Single Stage - One Envelope		1,059,198.44	Signed																				

IN-KSTP-70058-GO-RFB / Road Safety Measures to Pavagada- Koruppartha stretch of NH-66-Kozhikode District-Providing Speed violation detection systems	IBRD / 82540		Post		Request for Bids	Open - National	Single Stage - One Envelope		0.00	Pending Implementation					2018-07-23		2018-07-28					2018-09-08		2018-10-08		2018-11-12		2019-05-11
IN-KSTP-97843-GO-RFB / Purchase of computers and peripherals for all PWD offices (state-wide) to support e-Governance activities	IBRD / 82540		Post		Request for Bids	Open - National	Single Stage - One Envelope		0.00	Pending Implementation					2019-02-15		2019-02-20					2019-04-03		2019-05-03		2019-06-07		2019-12-04

NON CONSULTING SERVICES

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion		
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned

CONSULTING FIRMS

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Actual Amount (US\$)	Process Status	Terms of Reference		Expression of Interest Notice		Short List and Draft Request for Proposals		Request for Proposals as Issued		Opening of Technical Proposals / Minutes		Evaluation of Technical Proposal		Combined Evaluation Report and Draft Negotiated Contract		Signed Contract		Contract Completion				
									Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	
WBR No. 19 (CSC-II) / Consultancy Services for Construction Supervision and Contract Management - CSC II for Ponkunnam-Thodupuzha Road	IBRD / 82540		Prior	Quality And Cost-Based Selection	Open - International		734,939.03	Signed																				2014-05-14	
2415/2014 / Consultancy Services for Community Participatory Road Safety and Asset Safeguard Program	IBRD / 82540		Prior	Quality And Cost-Based Selection	Open - International		0.00	Canceled	2016-09-28		2016-10-19			2016-12-02				2016-12-30		2017-01-29								2017-04-09	2018-04-09
IN-KSTP-37076-CS-QCBS / Post Crash Emergency Management on the Safe Corridor Demonstration Project (SCDP)	IBRD / 82540		Post	Quality And Cost-Based Selection	Open - National		0.00	Under Implementation	2017-11-17		2017-12-08			2018-01-21				2018-02-18		2018-03-20								2018-05-29	2018-11-29
IN-KSTP-37079-CS-QCBS / Consultancy Services for Traffic Management and Road Safety Enforcement Program on the Safe Corridor Demonstration Project (SCDP)	IBRD / 82540		Post	Quality And Cost-Based Selection	Open - National		0.00	Under Implementation	2017-11-17		2017-12-08			2018-01-21				2018-02-18		2018-03-20								2018-05-29	2018-11-29
IN-KSTP-37161-CS-QCBS / Consultancy services for Institutional Strategy and Action Plan for Centre of Excellence for Kerala Road Sector across five identified Thematic areas	IBRD / 82540		Prior	Quality And Cost-Based Selection	Open - International		0.00	Canceled	2017-11-17		2017-12-08			2018-01-21				2018-02-18		2018-03-20								2018-05-29	2018-11-29
IN-KSTP-37243-CS-QCBS / Consultancy services for Implementing Asset Management System for proposed Centre of Excellence	IBRD / 82540		Prior	Quality And Cost-Based Selection	Open - International		0.00	Canceled	2017-11-17		2017-12-08			2018-01-21				2018-02-18		2018-03-20								2018-05-29	2018-11-29

IN-KSTP-37245-CS-QCBS / Project Management Consultancy Services in PMT of KSTP	IBRD / 82540	Prior	Quality And Cost-Based Selection	Open - International	0.00	Canceled	2017-11-17	2017-12-08	2018-01-21					2018-02-16	2018-03-20	2018-04-24	2018-05-29	2019-05-29
IN-KSTP-38049-CS-QCBS / Consultancy Services establishing Road Accident Data Management System (RADMS) in the proposed Centre of Excellence and Public Works Department	IBRD / 82540	Prior	Quality And Cost-Based Selection	Open - International	0.00	Pending Implementation	2017-11-23	2017-12-14	2018-01-27					2018-02-24	2018-03-26	2018-04-30	2018-06-04	2018-12-01
IN-KSTP-38060-CS-QCBS / Consultancy Services for Capacity Building of officials of PWD on RPUCLARR Act 2013	IBRD / 82540	Post	Quality And Cost-Based Selection	Open - International	0.00	Under Implementation	2017-11-23	2017-12-14	2018-01-27					2018-02-24	2018-03-26	2018-04-30	2018-06-04	2018-12-05
IN-KSTP-39195-CS-LCS / Consultancy Service for integrated labour laws and its compliances	IBRD / 82540	Post	Least Cost Selection	Open - National	0.00	Canceled	2017-12-18	2018-01-08	2018-02-21					2018-03-21	2018-04-20	2018-05-25	2018-06-29	2018-12-28
IN-KSTP-39215-CS-QCBS / Consultancy Services for Construction Supervision and Contract Management for Upgradation works	IBRD / 82540	Prior	Quality And Cost-Based Selection	Open - International	0.00	Canceled	2017-12-07	2017-12-28	2018-02-10					2018-03-10	2018-04-09	2018-05-14	2018-06-18	2019-06-18
KSTP/IE/2017 / Independent Engineer Services for PPP Modified Annuity Concession) Project - Punaloor -Pookkunnam Road	IBRD / 82540	Prior	Quality And Cost-Based Selection	Open - International	0.00	Canceled	2017-03-01	2017-03-22	2017-05-05					2017-06-02	2017-07-02	2017-08-06	2017-09-10	2018-09-10
IN-KSTP-64153-CS-LCS / Road user satisfaction survey (RUISS) at mid term of implementation of KSTP- II(Periodical)	IBRD / 82540	Post	Least Cost Selection	Open - National	0.00	Under Implementation	2018-06-04	2018-06-25	2018-08-08					2018-09-05	2018-10-05	2018-11-09	2018-12-14	2019-06-12
IN-KSTP-64212-CS-QCBS / Consultancy services for post construction technical audit	IBRD / 82540	Post	Quality And Cost-Based Selection	Open - National	0.00	Under Implementation	2018-06-09	2018-06-30	2018-08-13					2018-09-10	2018-10-10	2018-11-14	2018-12-19	2019-12-19
IN-KSTP-64211-CS-QCBS / Selection of consultancy services for internal audit for the year 2018-19	IBRD / 82540	Post	Least Cost Selection	Open - National	0.00	Under Implementation	2018-06-11	2018-07-02	2018-08-15					2018-09-12	2018-10-12	2018-11-16	2018-12-21	2019-06-19
IN-KSTP-88367-CS-QCBS / Project Management Consultancy Services in PMT of KSTP	IBRD / 82540	Post	Quality And Cost-Based Selection	Open - International	0.00	Under Implementation	2018-11-27	2018-11-29	2018-11-29					2018-11-29	2018-12-29	2019-02-02	2019-03-09	2020-03-08
IN-KSTP-88371-CS-QCBS / Consultancy services for Institutional Strategy and Action Plan for Centre of Excellence for Kerala Road Sector across five identified Thematic areas	IBRD / 82540	Post	Quality And Cost-Based Selection	Open - International	0.00	Under Implementation	2018-11-27	2018-11-29	2018-11-30					2018-11-30	2018-11-30	2019-01-04	2019-02-08	2020-02-08

INDIVIDUAL CONSULTANTS