Appraisal Environmental and Social Review Summary

Appraisal Stage

(ESRS Appraisal Stage)

Date Prepared/Updated: 02/27/2020 | Report No: ESRSA00484
## BASIC INFORMATION

### A. Basic Project Data

<table>
<thead>
<tr>
<th>Country</th>
<th>Region</th>
<th>Project ID</th>
<th>Parent Project ID (if any)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Togolese Republic, Republic of Benin, Republic of Niger, Burkina Faso</td>
<td></td>
<td>P169594</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Practice Area (Lead)</th>
<th>Financing Instrument</th>
<th>Estimated Appraisal Date</th>
<th>Estimated Board Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Social Protection &amp; Jobs</td>
<td>Investment Project Financing</td>
<td>1/31/2020</td>
<td>4/22/2020</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Borrower(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Togolese Republic, Republic of Benin, Republic of Niger, Burkina Faso</td>
</tr>
</tbody>
</table>

### Proposed Development Objective(s)

Increase the number of persons in the the Togolese Republic, Republic of Benin, Republic of Niger, Burkina Faso who have government-recognized proof of unique identity that facilitates their access to services.

### Financing (in USD Million)

<table>
<thead>
<tr>
<th>Total Project Cost</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>273.00</td>
</tr>
</tbody>
</table>

### B. Is the project being prepared in a Situation of Urgent Need of Assistance or Capacity Constraints, as per Bank IPF Policy, para. 12?

No
C. Summary Description of Proposed Project [including overview of Country, Sectoral & Institutional Contexts and Relationship to CPF]

The WURI MPA Program aims to facilitate access to services by providing unique identification through a foundational ID system (fID system) to all individuals in participating countries, irrespective of legal status. Progress is anticipated in the number of enrolled women, as well as in the number of previously-unrecognized individuals (that is, those not formerly having a government-recognized ID), which is expected to substantially overlap with the vulnerable population. Another result will be to link key services, such as social safety net programs, to the fID systems. Progress towards the PrDO will be measured through the following program-level outcome indicators:

i. Legal Robustness: Development of foundational ID (fID) system enabling legal and institutional framework (including relevant data protection and privacy laws and a mandated ID agency and data protection agency), in keeping with regional standards and international good practices;

ii. Technical Robustness: Development of fID system which generates UNIs, in keeping with international good practices;

iii. Inclusion & Coverage: Number of direct project beneficiaries who have received a UNI (of which women); and

iv. Access to Services: Number of national or regional functional public and private services linked to the fID system.

The MPA employs a multi-borrower approach and includes at least two phases, each of which will run for at least 6 years from FY18 through FY28, with any country allowed to progressively join the Program. The three components of the Program—(i) strengthening the legal and institutional environment; (ii) establishing robust and inclusive fID systems; and (iii) facilitating access to services—will be replicated by countries participating in subsequent phases. Phase 1 of the Program includes Côte d’Ivoire, Guinea and the ECOWAS Commission. Phase 2 of the Program will include Benin, Burkina Faso, Niger, and Togo.

D. Environmental and Social Overview

D.1. Project location(s) and salient characteristics relevant to the ES assessment [geographic, environmental, social]

Phase II of the project will cover four countries - Benin, Burkina Faso, Togo and Niger. Project activities will be conducted at national scale.

D.2. Borrower’s Institutional Capacity

While the specific issues and challenges posed by this project might be new to some of the client institutions, borrowers do have substantial experience with preparing and implementing safeguards instruments, including social assessments and stakeholder engagement plans. Hence, the overall capacity is acceptable.

II. SUMMARY OF ENVIRONMENTAL AND SOCIAL (ES) RISKS AND IMPACTS

A. Environmental and Social Risk Classification (ESRC) Substantial

Environmental Risk Rating Low

No civil works or other activity that might impact negatively the environmental components are part of the project. Limited physical investments will be made to set up data centers and fID system infrastructure at national levels including servers, computers, tablets etc. Therefore, energy efficiency, e-waste handling and disposal might be
relevant and need to be assessed and managed according to requirements of ESS1 and ESS3 of the ESF and WBG General EHS Guidelines. The project is not significant user of water or other raw materials. The project is not significant GHG emitter and therefore no GHG emission estimation is required.

Social Risk Rating

Substantial

The proposed project activities entail some significant risks and impacts, which fall largely into two categories. First, there is significant potential that the mass registration of the population will not reach certain vulnerable groups thus exacerbating their social exclusion and marginality. Second, data collected as part of the registration could be used for discriminatory purposes, or give rise to data theft and other privacy violations. Social Assessments will be prepared in each country to assess these risks and propose mitigation measures. Substantial and continuous measures will need to be put in place during project preparation and implementation.

B. Environment and Social Standards (ESSs) that Apply to the Activities Being Considered

B.1. General Assessment

ESS1 Assessment and Management of Environmental and Social Risks and Impacts

Overview of the relevance of the Standard for the Project:

The project is likely to entail significant risks, particularly on the social side, and requires thorough assessments and mitigation plans for the four countries. ToR for the social assessments will be adapted from Phase I of the project, where social assessments are being carried out in Guinea and Côte d’Ivoire. Given the national and programmatic scope of project activities the assessments will be conducted using methodologies for SESAs/PSIAs.

The project is likely to entail minor environmental risks as a result of establishment of electronic data centers. Assessment of environmental risks and development of relevant management plans is required.

ESS10 Stakeholder Engagement and Information Disclosure

Stakeholder identification and analysis for participation in the consultations will include due consideration of representativeness and inclusion of vulnerable groups. Groups to be consulted in every country shall include: women, youth, elderly, persons with disabilities and refugees, forcibly displaced and stateless persons. Additional key groups will be identified based on the particular country context (e.g., minority ethnic/nationality groups, geographically isolated communities) with the help of experts. The use of independent third-party specialists might be needed to support an inclusive engagement process.

Pilot methodologies for the consultations will include a series of roundtables, field-based research and open, national consultation meetings with civil society, beneficiaries and other stakeholders to solicit feedback on project design and implementation. These will form the basis of an active and ongoing feedback loop under the operation. This multi-methods approach to consultations will ensure operations in each country reach civil society more broadly, and especially vulnerable groups who cannot actively and meaningfully participate in national consultations due to distance, safety or access issues—the “last mile” groups (including, based on country context, e.g., refugees and the forcibly displaced; border communities; stateless persons; the elderly; gender minorities; persons with disabilities and their caregivers; minority ethnic/nationality groups). Methodologies will range from individual and regional
meetings or roundtables to focus groups to participant-observer research, depending on the context in each country and the best way to contact hard to reach groups. Information will be disclosed in relevant local languages in a culturally appropriate and accessible manner, taking into account the differentiated needs of vulnerable groups.

These proposed stakeholder engagement activities will be summarized and documented in stakeholder engagement plans, drafts of which are being finalized before appraisal. Finally, the project will include a Grievance Redress Mechanism to handle complaints by stakeholders.

B.2. Specific Risks and Impacts

A brief description of the potential environmental and social risks and impacts relevant to the Project.

ESS2 Labor and Working Conditions
The PIU will include direct workers. The project activities, particularly the mass registration campaigns, will also require the engagement and deployment of significant amounts of labor, including on a short-term basis. There is a risk that these project workers might be subject to unfavorable contractual arrangements and working conditions. The project will prepare Labor Management Procedures which will set out: terms and conditions of employment; principles regarding non-discrimination and equality of opportunity; guidance on workers’ organizations; policies on child and forced labor; measures for occupational health and safety; and, the establishment of a grievance mechanism for labor disputes. The LMP will include measures for addressing working conditions and GBV/SEA risks, such as a Code of Conduct for PIU staff and contractors.

ESS3 Resource Efficiency and Pollution Prevention and Management
The project aims at financing the mass registration campaigns. These types of activities do not involve Resource Efficiency and Pollution Prevention and Management. Limited physical investments will be made to set up data centers and fiD system infrastructure at national levels including servers, computers, tablets etc. Therefore, energy efficiency, e-waste handling and disposal might be relevant and need to be assessed and managed. The project is not significant user of water or other raw materials. The project is not significant GHG emitter and therefore no GHG emission estimation is required.

ESS4 Community Health and Safety
The project will not finance any civil work that could be associated with potential adverse impact on Community Health and Safety. The project will include measures to address GBV/SEA risks to the community, such as outreach and sensitization, in addition to the above measures for staff and contractors working on the project.

ESS5 Land Acquisition, Restrictions on Land Use and Involuntary Resettlement
The project is not likely to entail any land acquisition leading to physical or economic displacement.

ESS6 Biodiversity Conservation and Sustainable Management of Living Natural Resources
None of the activities to be supported by the operation might constitute a threat for biodiversity and/or Living natural resources.

ESS7 Indigenous Peoples/Sub-Saharan African Historically Underserved Traditional Local Communities
There are no known Indigenous Peoples/Sub-Saharan African Historically Underserved Traditional Local Communities in the project area. The social assessments will, however, examine if project activities are being carried out in areas where such groups may be present.

ESS8 Cultural Heritage
It is not anticipated that the project will impact cultural heritage as no physical activity will be supported by the project.

ESS9 Financial Intermediaries
This standard is not relevant at this time based on the available project information.

C. Legal Operational Policies that Apply

OP 7.50 Projects on International Waterways
None of projects' activities is related to waterways.

OP 7.60 Projects in Disputed Areas
The project will not be implemented in disputed areas.

III. BORROWER’S ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

<table>
<thead>
<tr>
<th>DELIVERABLES against MEASURES AND ACTIONs IDENTIFIED</th>
<th>TIMELINE</th>
</tr>
</thead>
<tbody>
<tr>
<td>ESS 1 Assessment and Management of Environmental and Social Risks and Impacts</td>
<td>09/2020</td>
</tr>
<tr>
<td>ESIAs and ESMPs in each of the four countries</td>
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<tr>
<td>ESS 10 Stakeholder Engagement and Information Disclosure</td>
<td>01/2020</td>
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<tr>
<td>Stakeholder Engagement Plans</td>
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<tr>
<td>ESS 2 Labor and Working Conditions</td>
<td>04/2020</td>
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<tr>
<td>Labor Management Procedures</td>
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<tr>
<td>ESS 3 Resource Efficiency and Pollution Prevention and Management</td>
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<tr>
<td>ESS 4 Community Health and Safety</td>
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</table>
B.3. Reliance on Borrower’s policy, legal and institutional framework, relevant to the Project risks and impacts

Is this project being prepared for use of Borrower Framework? No

Areas where “Use of Borrower Framework” is being considered:
This operation will not use the Borrower’s E&S Framework in the assessment, development and implementation of investments. However, it will comply with relevant national legal and regulatory requirements.

IV. CONTACT POINTS

World Bank
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Borrower/Client/Recipient
Borrower: Togolese Republic
Borrower: Republic of Benin
Borrower: Republic of Niger
Borrower: Burkina Faso

Implementing Agency(ies)
Implementing Agency: Primature
Implementing Agency: Ministère des Postes, de l'Economie Numérique et des Innovations
Implementing Agency: Présidence
Implementing Agency: Ministère du Développement de l’Économie Numérique et des Postes (MDENP)
Implementing Agency: Premier Ministère
Implementing Agency: Ministère du Numérique et de la Digitalisation
Implementing Agency: Agence Nationale d'Identification des Personnes (ANIP)

V. FOR MORE INFORMATION CONTACT
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Web: http://www.worldbank.org/projects

VI. APPROVAL
Task Team Leader(s): Tina George
Practice Manager (ENR/Social) Aly Zulficar Rahim Cleared on 27-Feb-2020 at 09:45:9 EST
Safeguards Advisor ESSA Hanneke Van Tilburg (SAESSA) Concurred on 27-Feb-2020 at 13:53:46 EST